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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/579,943	05/22/2006	Yukinori Iizuka	06334/HG	9209
1933 7590 06/10/2009 FRISHAUF, HOLTZ, GOODMAN & CHICK, PC			EXAMINER	
220 Fifth Avenue			KERNS, KEVIN P	
16TH Floor NEW YORK.	NY 10001-7708		ART UNIT	PAPER NUMBER
			1793	
			MAIL DATE	DELIVERY MODE
			06/10/2009	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

# Application No. Applicant(s) 10/579 943 IIZUKA ET AL. Office Action Summary Examiner Art Unit Kevin P. Kerns 1793 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS. WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on 15 April 2009. 2a) ☐ This action is FINAL. 2b) This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 1-21 is/are pending in the application. 4a) Of the above claim(s) 4-6.8.9.14-16 and 19-21 is/are withdrawn from consideration. 5) Claim(s) \_\_\_\_\_ is/are allowed. 6) Claim(s) 1-3,7,10-13,17 and 18 is/are rejected. 7) Claim(s) \_\_\_\_\_ is/are objected to. 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement. Application Papers 9) The specification is objected to by the Examiner. 10) ☐ The drawing(s) filed on 22 May 2006 is/are: a) ☐ accepted or b) ☐ objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some \* c) None of: Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). \* See the attached detailed Office action for a list of the certified copies not received. Attachment(s) 1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413) Paper No(s)/Mail Date. Notice of Draftsperson's Patent Drawing Review (PTO-948)

3) Information Disclosure Statement(s) (PTO/SB/08)

Paper No(s)/Mail Date 8/4/06, 4/10/07.

Notice of Informal Patent Application

6) Other:

Art Unit: 1793

### DETAILED ACTION

### Election/Restrictions

Applicants' election of Group I (claims 1-3, 7, 10-13, 17, and 18) in the reply filed
on April 15, 2009 is acknowledged. Because the applicants did not distinctly and
specifically point out the supposed errors in the restriction requirement, the election has
been treated as an election without traverse (MPEP § 818.03(a)).

### Drawings

2. The drawings are objected to as failing to comply with 37 CFR 1.84(p)(5) because they do not include the following reference sign(s) mentioned in the description: "8B" (see specification on page 74, 3<sup>rd</sup> line, and page 75, 1<sup>st</sup> line).

Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abevance.

Art Unit: 1793

3. The drawings are objected to as failing to comply with 37 CFR 1.84(p)(5) because they include the following reference character(s) not mentioned in the description: "8C" (Figure 17). Corrected drawing sheets in compliance with 37 CFR 1.121(d), or amendment to the specification to add the reference character(s) in the description in compliance with 37 CFR 1.121(b) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. Each drawing sheet submitted after the filling date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abevance.

### Specification

4. Applicant is reminded of the proper language and format for an abstract of the disclosure.

The abstract should be in narrative form and generally limited to a single paragraph on a separate sheet within the range of 50 to 150 words. It is important that the abstract not exceed 150 words in length since the space provided for the abstract on the computer tape used by the printer is limited. The form and legal phraseology often used in patent claims, such as "means" and "said," should be avoided. The abstract should describe the disclosure sufficiently to assist readers in deciding whether there is a need for consulting the full patent text for details.

The language should be clear and concise and should not repeat information given in the title. It should avoid using phrases which can be implied, such as, "The disclosure concerns," "The disclosure defined by this invention," "The disclosure describes" etc.

In this instance, the abstract exceeds 150 words.

Art Unit: 1793

 The abstract of the disclosure is objected to because the references numbers should be enclosed within parentheses for clarity. Correction is required. See MPEP § 608.01(b).

6. The disclosure is objected to because of the following informalities: on page 3, 11<sup>th</sup> line, it is believed that "5" after "slab" either should be replaced with "1" or deleted. On page 77, 9<sup>th</sup> line, delete "a" before "care". Corrections and/or clarifications are required for these and other minor errors that occur throughout the specification.

## Claim Rejections - 35 USC § 112

- The following is a quotation of the second paragraph of 35 U.S.C. 112:
   The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- Claims 1-3, 7, 10, 17, and 18 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

With regard to independent claim 1, it is unclear what feature(s) are claimed in view of the limitation "at two positions apart from each other". Would two sensors be "adjacent" if being only "one position apart from each other", and would "two positions apart from each other" mean there is an "intermediate" sensor between the other two sensors? Corrections and/or clarifications are required.

Independent claims 1 and 11 recite the limitation "the installed position". There is insufficient antecedent basis for this limitation in the claims.

Art Unit: 1793

Claims 7 and 17 recite the limitation "the relevant continuous casting machine".

There is insufficient antecedent basis for this limitation in the claims.

### Claim Rejections - 35 USC § 102/103

9. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 10. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be needtived by the manner in which the invention was made.
- 11. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Application/Control Number: 10/579,943

Art Unit: 1793

 Claims 11-13 are rejected under 35 U.S.C. 102(b) as anticipated by or, in the alternative, under 35 U.S.C. 103(a) as obvious over JP 62-148850 (complete translation provided with this Office Action).

Regarding claims 11-13, JP 62-148850 discloses a non-contact solidification state (crater end) detecting apparatus (abstract; pages 6-13 of translation; and Figures 1-8) for a continuously cast billet (product 16 with solidified and molten portions 18,19), in which the apparatus includes the following features (see Figures 2-7) defined by an electromagnetic ultrasonic transverse wave transmitter sensor 20 and receiver sensor 22 (see Figures 2, 4, and 6):

one or more electromagnetic ultrasonic shear wave sensors each having a transmitter that transmits an ultrasonic shear wave (via arrows in Figure 7 that illustrate motion with respect to magnetic poles/coils) to the continuously cast product 18, and one or more ultrasonic shear wave receivers that receive(s) the transmitted ultrasonic shear wave (Figures 2, 4, 6, and 7);

one or more ultrasonic longitudinal wave sensors each having a transmitter that transmits a longitudinal wave (via arrows in Figure 7 that illustrate motion with respect to magnetic poles/coils) to the continuously cast product 18, and one or more ultrasonic longitudinal wave receivers that receive(s) the transmitted ultrasonic longitudinal wave (Figures 2, 4, 6, and 7), such that the sensors (20,22) are positioned at the same position in a transverse direction with respect to the length of the billet 18 in the casting direction (Figures 2, 4, and 6); and

Application/Control Number: 10/579,943

Art Unit: 1793

a synchronous averaging section that averages pulses occurring during transmission from the sensors (20,22) to an oscilloscope 32, in combination with an evaluating section for display of the ultrasonic wave signal waveform on the oscilloscope 32, of which the combination forms the crater end computing unit, or arithmetic processing apparatus 38 (of Figure 6).

Although not specifically disclosed by JP 62-148850, the calculation formula for use with the variations of an ultrasonic signal received by the ultrasonic shear wave sensor matched with the time being confirmed (detected) would have been inherent and/or obvious to one of ordinary skill in the art for improving the detection of the crater end. Furthermore, the calculation formula does not provide a distinct structural limitation to these apparatus claims, but rather is considered as an intended use or function of the apparatus, as one of ordinary skill in the art would have recognized.

Regarding the use of the abovementioned calculation formula and other similar "non-structural" limitations presented throughout claims 11-13, a recitation of the intended use of the claimed invention must result in a <u>structural difference</u> between the claimed invention and the prior art in order to patentably distinguish the claimed invention from the prior art. If the prior art structure is capable of performing the intended use, then it meets the claim.

Art Unit: 1793

## Allowable Subject Matter

 Claims 1-3, 7, 10, 17, and 18 would be allowable if rewritten or amended to overcome the rejection(s) under 35 U.S.C. 112, 2nd paragraph, set forth in this Office action.

14. The following is a statement of reasons for the indication of allowable subject matter: the prior art fails to teach or suggest a method for detecting a crater end of a continuously cast product by transmitting and receiving ultrasonic shear waves and ultrasonic longitudinal waves, with the method further including the steps of detecting based on variations of an ultrasonic signal received by the ultrasonic shear wave sensor, and calibrating a calculational formula for determining the crater end from a propagation time of a ultrasonic longitudinal wave signal that is matched with an installed position of the ultrasonic shear wave sensor (independent claim 1).

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kevin P. Kerns whose telephone number is (571)272-1178. The examiner can normally be reached on Monday-Friday from 8:00am-5:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jessica Ward can be reached on (571) 272-1223. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 1793

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Kevin P. Kerns Primary Examiner Art Unit 1793

/Kevin P. Kerns/ Primary Examiner, Art Unit 1793 May 29, 2009